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PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roch Listz MAURIĆE, et al.

Appl. No.: 10/588,421√ : Art Unit: To be assigned

Filed: August 4, 2006 : Examiner: To be assigned

For: METHOD AND SYSTEM FOR : Atty. Docket: BCM-009US

VASCULAR ELASTOGRAPHY

#### **CERTIFICATE OF MAILING**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 6, 2007.

Name: Bonny Rogers

#### **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449, or substitute thereof, is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted, except for U.S. patents and published applications as provided under 37 C.F.R. 1.98(2)(ii).

In accordance with 37 C.F.R. 1.97, this Information Disclosure Statement is being filed before the mailing of a First Office Action on the merits or within three months of the filing date of the above-referenced U.S. application, therefore NO FEE is due. In addition, non-English language reference CRR is mentioned in the specification of this application.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 503596**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (508) 898-8603.

Respectfully submitted,

MUIRHEAD AND SATURNELLI, LLC

Date: September 6, 2007

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Application Number 10/588,421

Filing Date August 4, 2006

First Named Inventor Roch Listz MAURICE

Art Unit To be assigned

To be assigned

BCM-009US

Examiner Name

Attorney Docket No.

# FORMATION DISCLOSURE STATEMENT BY APPLICANT

SEP 1 0 2007

(Use as many sheets as necessary)

		U.	S. PATENT DOCUMENTS			
EXAMINER INITIALS *	ATTY. CITE NO.	DOCUMENT NUMBER	ISSUE/PUBLICATION DATE MM-DD-YYYY	NAME OF APPLICANT OF CITED DOCUMENT	CLASS	SUB- CLASS

		FOREI	GN PATENT DOCU	MENTS		
Examiner	ATTY.	. DOCUMENT NUMBER PUBLICATION DATE COUNTRY			TRANSI	LATION
INITIALS *	CITE NO.		MM-DD-YYYY		YES	No
		NON-PATE	NT LITERATURE D	OCUMENTS		
EXAMINER INITIALS *	atty. Cite no.	Include Author, Title, Date, Vol/Issue No., Country of publication, Pages, etc.				
	CA	Bang J., Dahl T., Bruinsma A. motion of carotid plaques from	, Kaspersen J. H. Hernes T. n RF ultrasound images", U	A. N., Myhre H. O. (20 Itrasound in Med. & Bi	003), "A new method for ol., Vol. 29, No. 7, pp. 90	analysis of 67-976.
	СВ	Brusseau E., Fromageau J., Finet G., Delachartre P., and Vray D. (2001), "Axial strain imaging of intravascular data: Results on polyvinyl alcohol cryogel phantoms and carotid artery", Ultrasound in Medicine & Biology, Vol.27, No. 12, pp. 1631-1642.				
	CC	Zaman A.G., Helft G., Worthley S.G., Badimon J.J. (2000), "The role of plaque rupture in coronary artery disease", Atherosclerosis, Vol. 149, pp. 251-266.				
CD Cheng, G. C. Loree, H., M., Kamm, R. D., Fishbein, M.C., Lee, R.T. (1993), "Distributio ruptured and stable atherosclerotic lesions: a structural analysis; with histopathological co No. 4, pp. 1179-1187.  CE Chu K.C. and Rutt B.K (1997), "Polyvinyl alcohol cryogel: An ideal phantom material for M elasticity". Magn. Reson. In Med., Vol. 37, pp. 314-319.  CF Davies J.M. and Thomas A.C. (1985), "Plaque) fissuring: The cause of acute myocardial infarc and crescendo angina", Br. Heart J., Vol. 53, pp. 363-373.						
		rial for MR studies of arte	erial flow and			
		lial infarction, sudden isch	haemic death,			
	CG	de Korte C. L, Céspedes E. I., Van der Steen A. F. W., and Lancée C. T. (1997), "Intravascular elasticity imaging using ultrasound - Feasibility studies in phantoms", <i>Ultrasound in Medicine &amp; Biology</i> , Vol. 23, No. 5, pp. 735-746.				
CH de Korte elastogra		de Korte C. L., Vafi der St elastography in human arteries: 401-408.	een A. F. W., Céspedes	E. I., and Pasterkamp	G. (1998), "Intravascul	ar ultrasound

Examiner Signature:	DATE CONSIDERED:
*EXAMINER: Initial if referenced considered, whether or not citation is	s in conformance with MPEP 609 Draw a line

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Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket No.	BCM-009US

	NON-PATENT LITERATURE DOCUMENTS				
EXAMINER INITIALS *	ATTY. CITE NO.	INCLUDE AUTHOR, TITLE, DATE, VOL/ISSUE NO., COUNTRY OF PUBLICATION, PAGES, ETC.			
	CI	de Korte C. L. Pasterkamp G., Van der Steen A. F. W., Woutman H. A., and Bom N. (2000a), "Characterization of plaque components with intravascular ultrasound elastography in human femoral and coronary arteries in vitro", <i>Circulation</i> , Vol. 102, No. 6, pp. 617-623.			
	CJ	de Korte C. L, Van der Steen A. F. W., Céspedes E. I., Pasterkamp G., Carlier S. G., Mastik F., Schoneveld A H., Serruys P. W., and Bom N. (2000b), "Characterization of plaque components and vulnerability with intravascular ultrasound elastography," <i>Physics in Medicine &amp; Biology</i> , Vol. 45, No. 6, pp. 1465-1475.			
	CK	Delachartre P., Gachard C, Finet G., Gerfault L. Vray D. (1999), "Modeling geometric artefacts in Intravascular Ultrasound Imaging", <i>Ultrasound in Medicine and Biology</i> , Vol. 25, No. 4, pp. 567-575.			
	CL	Falk E. (1989), "Morphologic features of unstable atherosclerotic plaques underlying acute [coronary syndromes" <i>Am. J. Cardiol.</i> , 63(suppl E), pp. 114E-120E.			
	CM	Falk E. (1992), "Why do plaques rupture?", Circulation, Vol. 86(suppl. III), pp. 30-42.			
	CN	Fisher A., Gustein D.E., Fayad Z.A., Fuster V. (2000), "Predicting plaque rupture: enhancing diagnosis and clinica decision-making in coronary artery disease", Vasc. Med: Vol. 5, pp. 163-172.			
	СО	Foster F. S., Zhang M. Y., Zhou Y. Q, Liu G., Mehi J., Chérin E., Harasiewicz K. A., Starkoski B. G., Zan L Knapik D. A., and Adamson S. L. (2002), "A new ultrasound instrument for in vivo microimaging of mice," Ultrasound in Med. & BioL, Vol 28, No. 9, pp. 1165-1172.			
	CP	Fung Y.C. <u>Biomechanical properties of living tissues</u> . 2 <sup>nd</sup> Ed., "Mechanical Properties and Active Remodeling o Blood Vessels", New York: Springer Verlag, 1993, pp. 321-391.			
	CQ	Garra B. S., Céspedes E. I., Ophir J., Sprat, S. R., Zuurbier R. A., Magnant C. M., and Pennanen M. F. (1997) "Elastography of breast lesions: initial clinical results", Radiology, Vol. 202, No. 1, pp. 79-86.			
	CR	Goud C, Pitt B., Webb R.C., Richey J.M. (1998J), "Synergistic actions of insulin and troglitazone on contractility in endothelium-denuded rat aortic rings", Am. Physiol. Soc., Vol. 275 (Endocrinol Metab. 38), pp. E882-E887.			
	CS	Hamet P., Pausova Z., Tremblay J., Deng A. (2002), "Hypertension as a genetic disease", <i>In: Hypertension Manual</i> , Chapter 2.2, Mancia G., 20 Chalmers J., Ferrari A., Julius S., Saruta T., and Weber M., Eds, Harcour Brace and Co. Ltd, Robert Stevenson House, Scotland, UK.			
	CT	Zhao J., Day J., Yuan Z.F., Gregersen H. (2002), "Regional artery stress-strain distributions referenced to the zero stress state in the rat", Am. J. Physiol., Heart Circ. Physiol., Vol. 282, pp. H622-H629.			
	CU ·	Horn B. K. P. (1986), "Robot Vision", McGraw-Hill Company, pp. 278-298.			
	CV	Intengan H.D., He G., Schiffrin E.L. (1998a), "Effect of vasopressin antagonism on structure and mechanics of small arteries and vascular expression of endothelin-1 in deoxycorticosterone acetate-salt hypertensive rats", <i>Hypertension</i> , Vol. 32, pp. 770-777.			
	CW	Intengan H.D., Schiffrin E.L. (1998b), "Mechanical properties of mesenteric resistance arteries from Dahl salt-sensitive rats role of endothelin-1", <i>Journal of Hypertension</i> , Vol. 16, pp. 1907-1912.			
	CX	Johns D.G., Jin J-S, Webb R.C. (1998), "The role of the endothellium in ceramide-induced; vasodilation", European Journal of Pharmacology, Vol. 349, pp. R9-R1O.			
	CY	Kanai H., Hasegawa H., Ichiki M., Tezuka F., Kolwa Y. (2003), "Elasticity imaging of atheroma with transcutaneous ultrasounce Preliminary study", <i>Circulation</i> , Vol. 107, No. 24, pp. 3018-3021.			
	CZ	Le Mehaute B. An introduction to hydrodynamics and water waves. New-York: Springer-Verlag, 1976, pp. 18-20.			

#### **EXAMINER SIGNATURE:**

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		NON-PATENT LITERATURE DOCUMENTS
Examiner Initials *	ATTY. CITE NO.	INCLUDE AUTHOR, TITLE, DATE, VOL/ISSUE NO., COUNTRY OF PUBLICATION, PAGES, ETC.
	CAA	Lee; R. T., Loree, H. M., Cheng, G. C, Lieberman, E.H., Jaramillo, N., Schoen, F.J. (1993), "Computational structura analysis based on intravascular ultrasound imaging before in vitro angioplasty: prediction of plaque fracture locations," <i>J. Am. Coll. Cardiol.</i> , Vol. 21, No. 3, pp. 777-782.
	CBB	Levenberg, K. (1063). "A method for the solution of certain non-linear problems in least-squares," <i>Q.Appl.Math.</i> , Vol. 2 No. 2, pp. 164-168.
	CCC	Loree, H. M, Kamm, R. D., Stringfellow, R. G., Lee, R.T. (1992), "Effects of fibrous cap thickness on peak circumferential stress in model atherosclerotic vessels," <i>Circ Res</i> , Vol. 71, No. 4, pp. 850-858.
1	CDD	Mai J. J., Insana M. (2002), "Strain imaging of internal deformation", Ultrasound in Med. & Biol., Vol, 28, Nos. 11/12 pp. 1475-1484.
	CEE	Marquardt, D. W. (1944). "An algorithm for least-squares estimation of non-linear parameters," <i>J. SIAM</i> , Vol. 11, No. 2 pp. 431-441.
	CFF	Mase G. E. Theory and problems of continuum mechanics. Schaum's Outline Series, McGraw-Hill, New York, 1970.
	CGG	Maurice R. L. and Bertrand M. (1999), "Lagrangian speckle model and tissue motion estimation - Theory," <i>IEE. Transactions on Medical Imaging</i> , Vol. 18, No. 7, pp. 593-603.
	СНН	Maurice R. L. et al. (2004b), « Non-Invasive Elasticity Imaging in Small Vessels: Validation on Tissue-Mimicking Phantoms Medical Imaging 2004, Ultrasonic Imaging and Signal Processing, Proceedings of SPIE, W.F. Walker and S.Y. Emelianov Eds. Vol. 5373, pp. 184-192.
	CII	Ohayon J., Teppaz P., Finet G., Rioufol G. (2001), "In-vivo prediction of human coronary plaque rupture location usin intravascular ultrasound and the finite element method", <i>Coronary Artery Disease</i> , Vol. 12, pp. 655-663.
	Cll	Ophir J., Céspedes E. I., Ponnekanti H., Yazdi Y., and Li X. (1991), "Elastography: a quantitative method for imaging the elasticit in biological tissues", <i>Ultrasonic Imaging</i> , Vol. 13, No. 2, pp. 111-134.
	CKK	Ophir J., Alam S. K., Garra B., Kallel F., Konofagou E., Krouskop T., and Varghese T. (1999), "Elastography: ultrasonic estimatio and imaging of the elastic properties of tissues," <i>Proceedings of the Institution of Mechanical Engineers.Part H Journal of Engineering in Medicine</i> , Vol. 213, No. H3, pp. 203-233.
	CLL	Ryan L.K. and Foster F. S. (1997), "Ultrasonic measurement of differential displacement strain in a vascula model," <i>Ultrasonic Imaging</i> , Vol. 19, No. 1, pp.: 19-38.
	СММ	Shapo B.M., Crowe J.R., Skovoroda A.R., Eberle M.J., Conn N.A., O'Donnell M. (1996a), "Displacement and strain imaging of coronary arteries with intraluminal ultrasound", <i>IEEE Transactions on Ultrasonics Ferroelectrics</i> , & Frequency Control, Vol. 43(2), pp. 234-246.
	CNN	Shapo B.M., Crowe J.R., Erkamp R., Emelianov S.Y., Eberle M.J., O'Donnell M. (1996b), "Strai imaging of coronary arteries with intraluminal ultrasound: Experiments on an inhomogeneous phantom' <i>Ultrasonic Imaging</i> Vol. 18(3), pp. 173-191.
	C00	Si X., Webb R.C., Richey J.M. (1999), "Bezafibrate, an anti-hypertriglyceridemic drug, attenuates vascula hyperresponsiveness and elevated blood pressure in fructose-induced hypertensive rats", Can. J. Physiol Pharmacol., Vol. 77, pp. 755-762.
	CPP	Tatchum-Talom R., Martel C, Marette A. (2001), "Influence of estrogen on aortic stiffness and endothelial function in female rats", Am. J. Physiol., Heart Circ. Physiol. Vol. 282, pp. H491-H498.

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	CQQ	Talhami H.E., Wilson L.S., Neale M.L (1994), "Spectral tissue strain: A new technique for imaging tissue strain using intravascular ultrasound", <i>Ultrasound in Medicine &amp; Biology</i> , Vol. 20(8), pp. 759-772.
	CRR	Treyve, F., Ohayon, J., Finet, G., and Rioufol, G. (2003). "Modelling of the Stress distribution in an atherosclerotic plaque in man using a finite element analysis," C. R. Mécanique, Vol. 331, pp. 449-454.
	CSS	Weitzel W.F., Kim K., Rubin J.M., Wiggins R.C. Xie H., Chen X., Emelianov S.Y., O'Donnell M., 2004, "Feasibility of applying ultrasound strain imaging to detect renal transplant chronic allograft nephropathy", <i>Kidney Int.</i> , Vol. 65, No. 2, pp. 733-736.
	СТТ	Williamson, S. D., Lam, Y., Younis H. F. Huang, H., Patel, S., Kaazempur-Mofrad, M. R., and Kamm, R. D. (2003), "On the sensitivity of wall stresses in diseased arteries to variable material properties," Journal of Biomechanical Engineering, Vol. 125, pp. 147-155.
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